

Rec'd PCI/PTO 30 SEP 2002

#7

146.1380

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*With  
Surcharge*

In re Application of: :  
LAL BANSI et al :  
Serial No.: 10/031,764 :  
Filed: January 18, 2002 :  
For: NOVEL...AS A PHARMACEUTICAL :

600 Third Avenue  
New York N.Y. 10016  
October 1, 2002

COMPLETION OF APPLICATION

Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the notification of missing requirements, Applicants are submitting herewith two executed declarations to replace the unexecuted declaration filed with the application. Also submitted herewith is PTO Form-2038 authorizing the \$130.00 completion fee as well as a copy of the notice. With respect to the sequence listing request, a copy of which is filed herewith, this is not proper since Applicants are not claiming nucleic acids but hexacyclo peptides of Formula I. Since the filing of the application is complete, it is requested that the same be forwarded to the group for examination in due course.

Respectfully submitted,  
Bierman, Muserlian and Lucas

By: *Charles A. Muserlian*  
Charles A. Muserlian #19,683  
Attorney for Applicants  
Tel.# (212) 661-8000

CAM:ds  
Enclosures



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,764	Bansi	146.1380

INTERNATIONAL APPLICATION NO.
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PCT/EP00/06769

I.A. FILING DATE	PRIORITY DATE
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07/15/2000

07/27/1999

20311

BIERMAN MUSERLIAN AND LUCAS  
600 THIRD AVENUE  
NEW YORK, NY 10016

RECEIVED  
APR 12 2002

CONFIRMATION NO. 8326

371 FORMALITIES LETTER



\*OC000000007803207\*

BIERMAN, MUSERLIAN AND LUCAS

Date Mailed: 04/08/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

June 8, 02  
(+1) July 8  
(+2) Aug 8  
(+3) Sep 8  
(+4) Oct 8, 02

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR**

**THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - **APPLICANT MUST PROVIDE:**
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

10/03/2002 09:00:00 00000111 10031764

BARBARA A CAMPBELL

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\$20.00 OF

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,764	PCT/EP00/06769	146.1380